D.C. 621.394.9

# SECURE COMMUNICATION BASED ON ELLIPTIC CURVE PUBLIC KEY CRYPTOSYSTEMS (II)

# BY EUGEN PETAC

The cryptographic importance of the Elliptic Curve Public Key Cryptosystems (ECPKC) consists of the difficulty to determine discrete logarithms over extensions of finite fields [6]. This is much harder than factorization of integers or calculating discrete logarithms in  $\mathcal{F}_q$ . Another most important aspect consists in the forms for the private keys and for the public keys; the private keys are ordinary integers and the public keys are points on an elliptic curve. Elliptic curve systems are very good for applications with smart cards and in distributed systems, where computational power and integrated circuit space are limited, because computations are easily performed and bandwidth requirements are minimal.

The paper presents an Elliptic Curve Authenticated Encryption Scheme using an Universal Hash Function (UHF). The UHF can take an input octet string message M of arbitrary length. The output of the UHF is an octet string H of a 64 bits fixed length.

For computing in finite extensions over finite rings we have used the ZEN-new toolbox [4]: there are some computing routines implementing the group law defined for an elliptic curve.

#### 1. Introduction

The key of each user of a Public Key Cryptosystem (PKC) is divided into two portions—a private component and a public one. The public component generates a public transformation, E, and the private component generates a private transformation, D. E and D can be called encryption and decryption functions, respectively. In a system we may have D(E(M)) = M, E(D(M)) = M or both. There are the following possibilities [2] for the authentication of the send message:

- 1. The signature, S, is obtained as being  $S = D(M) = D_{KdB}(M)$ . After reception, A compiles the result of procedure  $E(S) = E_{KeB}(S) = E_{KeB}(D_{KdB}(M))$  and decides that the signature belongs to B, if this result is equal to M. Through the algorithms specific to the PKC, the signature of a message has to depend of all bits of the message, without modifying the text. The procedure to generate the signature is different from the symmetric authentication because the emitter uses data to which the receiver doesn't have access. The receiver can check the required signature by using the emitter public key.
- 2. We show a system which allows the combination between encipher and authentication operations: the message M is protected against a change or an unauthorized reading. For the beginning we apply to the M message, the E-secret transformation,

A universal class of hash functions (UHF) is specified [13] by an octet string, S, of length sLen = 2hLen octets. UHF can take an input message, M, of an arbitrary length and the output of UHF is a string, H, of a fixed length, hLen octets: UHF(M,S) = H. Following steps are necessary:

- 1. Break up to message M into n blocks  $M_k$ ,  $(k = \overline{0, n-1})$ , each containing h.l. n octets.
  - 2. Convert the block  $M_i$  to an element,  $m_i$ , of the field  $\mathcal{F}_{2^{8hLen}}$ .
  - 3. Let the polynomial m(x) of degree less than n over  $\mathcal{F}_{2^{8hLen}}$ :

$$m(x) = m_{n-1}x_{n-1} + m_{n-2}x_{n-2} + \dots + m_1x + m_0.$$

- 4. Convert the two hLen octets of S string in the elements a and b of the field  $\mathcal{F}_{28hLen}$ .
  - 5. Evaluate h = m(a) + b with h-element of the field  $\mathcal{F}_{2^{8hLen}}$ .
  - 6. Convert h to an octet string, H, as the hash value of M under S.
- In Fig.1 we present how to use a Universal Hash Function for Secrecy and Authenticity.

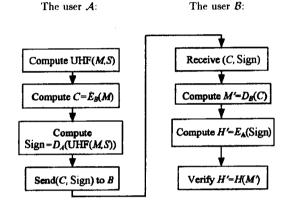


Fig. 1.- UHF for Secrecy and Authenticity.

## 2.1. The Implementation of Universal Hash Functions

Universal Hash Functions (UHF) are specified by means of a specification, S, a sequence of octets of sLen length. Message, M, is of an arbitrary length, divided into m blocks noted  $M_i$ ,  $(i = \overline{0, m-1})$ , each of hLen octets. The last block,  $M_{m-1}$ , is completed with zeros if its length is less than hLen octets.

MDOPUHF (M, S; H) is a message digest obtaining procedure by using UHF. For the message, M, is obtained the H message digest of the hlen octets.

MDOPUHF (M, S; H)

- 1. The blocks  $M_i$ ,  $(i = \overline{0, n-1})$ , are obtained for the message M, each having a length of **hLen** ortets.
- 2.  $S_1$  and  $S_2$ , partial subsequences of hLen octets, are obtained from the octets sequence, S, with a length sLen = 2 hLen.

octets.  $\mathcal{A}$  and  $\mathcal{B}$  users of the system know SECP (set of the elliptic curve parameters) [5]. [10]. [11], and the input values necessary for the applying of the procedure GMSC, of generating the mask MSC. Each of the users  $\mathcal{A}$  and  $\mathcal{B}$  choose the integer numbers, kept secret,  $d_A$ ,  $e_A$ , respectively  $d_B$ ,  $e_B$ , with  $d_A$ ,  $e_A$ ,  $d_B$ ,  $e_B \in [2, r-2]$ . By computation they obtain the public information  $Q_A = d_A \bullet P$  and  $Q_B = d_B \bullet P$ , inscribed in the public register PR. The process of secretly transmitting of the message M, of the length mLen octets, between two users,  $\mathcal{A}$  and  $\mathcal{B}$ , develops in three phases: of initiation, of generation and broadcasting of the cryptogram, of decrypting of the received cryptogram and of its authentication.

The procedure GMSC(x,  $x_{ini}$ , b, t; MSC) proposes, for a binary sequence line, x, of b = 160 bits, to generate a sequence of (mLen + sLen) octets, named mask and termed with MSC. The fields MSCM and S, of mLen, respectively Llen octets correspond to it. The mask is obtained by applying the procedure CDBO to a number of tb = 8(mLen + sLen) octets. The generation process takes place under the influence of an initiation binary sequence, termed  $x_{ini}$ , of b = 160 bits.

```
GMSC(x, x_{ini}, b, t; MSC)
```

- 1. Read x and  $x_{\rm ini}$ , binary sequences of 160 bits, with at least a bit different from zero.
- 2. Apply the procedure CDBI and obtain the integer numbers  $\overline{x}$  and  $\overline{x}_{\text{ini}}$ , with  $\overline{x}$ ,  $\overline{x}_{\text{ini}} \in (0, 2^b)$ .
- 3. The binary sequence  $H = H_0 ||H_1||H_2||H_3||H_4$  is initiated for SHA (Secure Hash Algorithm) [8].
  - 4. For  $j = \overline{0, t-1}$  do
    - 4.1. Set  $xv := (\overline{x} + \overline{x}_{ini}) \mod 2^b$ .
    - 4.2. Apply one of the procedures OWF-SHA or OWF-DES and obtain the binary sequence  $y_j := \text{OWF}(H, xv)$ .
  - 5. Set  $y := y_0 ||y_1|| ... ||y_{t-1}||$
  - 6. Apply the procedure CDBO for y and obtain the mask MSC = MSCM||S.

#### AEPPKECUHF

a) The initiation phase

The A user:

- 1. Apply the procedure CdP and find the points  $Q_A := d_A \bullet P$  and  $R_A := e_A \bullet P$ .
- 2. Apply one of the procedures CPOTC or CPOFTC and obtain the octets sequences  $Q_A^{**}$  and  $R_A^{**}$ . They contain l+1 octets if a compression technique has been used (procedure CPOTC) or 2l octets, if a compression technique hasn't been used (procedure CPOFTC).
  - 3. Inscribe  $Q_A^{\star\star}$  in the public register PR.

The user  $\mathcal{B}$ :

- 1. Apply the procedure CdP and find the points  $Q_B:=d_B \bullet P$  and  $R_B:=\epsilon_B \bullet P$ .
- 2. Apply one of the procedures CPOTC or CPOFTC and obtain the octets sequences  $Q_B^{**}$  and  $R_{\bullet \bullet}^{**}$ . They contain l+1 octets if a compression technique hasn't been used (procedure CPOFTC).
  - 3. Inscribe  $Q_B^{\star\star}$  in the public register PR.
  - b) The generation and broadcasting of the cryptogram phase

The  $\mathcal{A}$  user:

- 1. Read from PR the octets sequences  $Q_B^{**}$ .
- 2. Apply one of the procedures COPTR or COPFTR (depending on the using or not of a compression technique) and obtain the point  $Q_B$  that belongs to the elliptic curve.
  - 3. Apply the procedure CdP and find the point  $S_{AB} := d_A \cdot Q_B$ .
- 4. Apply one of the *procedures* CPOTC or CPOFTC and obtain the sequence of octets  $S_{AB}^{**}$ , of l+1 or 2l octets.
  - 5. Apply the procedure SHA and obtain  $x = \text{SHA}\left(S_{AB}^{***}\right)$  and  $x_{\text{ini}} = \text{SHA}\left(R_A^{***}\right)$ .
- 6. Apply the procedure GMSC  $(x, x_{\text{ini}}, b, t, mLen; \text{MSC})$  and obtain the mask MSC of mLen + sLen octets.

- c) Procedure ('DOB ( $x^{**}$ :  $x^*$ ) executes the conversion of the data from a sequence of octets into a binary sequence. To the sequence of octets  $x^{**} = X_1, X_2, ..., X_d$  it corresponds the sequence of bits  $x^* = x_1, x_2, ..., x_{8d}$ .
- d) Procedure CDBO  $(x^*; x^{***})$  executes the conversion of the data from a binary sequence into a sequence of octets. To the binary sequence  $x^* = x_1, x_2, ..., x_{8d}$  it corresponds sequence of octets  $x^{**} = X_1, X_2, ..., X_d, d = [[k/8]]$ . The first 8d k bits of  $X_l$  will be zero.
- e) Procedure CdP  $(d, (x_P, y_P); d \bullet P)$ : for d, a positive integer number and with  $P = (x_P, y_P)$ , point of an elliptic curve, is computed  $d \bullet P$  by a raising to power and addition (+) method.
- f) Conversion procedures of a point of the elliptic curve into a sequence of octets (CPO( $P, E/K; P^{**}$ )): for the point  $P = (x_P, y_P), P \in E/K$ , is obtained a sequence of octets termed with  $P^{**}$ , depending on the situation in which it was used (procedure CPOTC) or not (procedure CPOTC) a compression technique (TC) of the point (TCPF<sub>p</sub> or TCPF<sub>2</sub>).
- g) Conversion procedures of a point P of the elliptic curve in a binary sequence (CPB(P, E/K;  $P^*$ )): for the point  $P = (x_P, y_P)$ ,  $P \in E/K$ , it is received a binary sequence noted with  $P^*$ , depending on the situation in which it was used (procedure CPBTC) or not (procedure CPBTC) a compression technique (TC) of the point (TCPF<sub>p</sub> or TCPF<sub>2</sub>). The procedures CPBTC or CPBFTC are obtained following the successive applying of the procedures CPOTC and CDOB, respectively CPOFTC and CDOB.
- h) Conversion procedure of a sequence of octets  $P^{**}$  in a point P of the elliptic curve (procedure COP): for a sequence of octets  $P^{**}$  it is received the point  $P = (x_P, y_P), P \in E/K$ , by applying the procedure  $COP(P^{**}, E/K; (x_P, y_P))$ . For a sequence of octets termed with  $P^{**}$  is obtained the point  $P = (x_P, y_P), P \in E/K$ , depending on the situation in which it was used (procedure COPTR) or not (procedure COPTR) a restore technique (TR) of the point (TRPF<sub>p</sub> or TRPF<sub>2</sub>).
- i) The conversion procedure of the data in an element of a finite field, in a binary sequence (CDECFB( $\beta; s$ )). Let  $\beta \in \mathcal{F}_q$ . The binary sequence s of length  $t = [[\log_2 q]]$  bits is received:
- 1. If q = p is a prime number different from 2,  $\beta$  is an integer number,  $\beta \in [0, p-1]$ , and it is applied the *procedure* CDIB  $(\beta, s)$  in order to obtain a binary sequence s.
- 2. If  $q = 2^n$  and it is used a representation in *optimal normal base* (ONB) [9], [12] then  $\beta$  is obtained as a binary sequence and  $s = \beta$ .
- j) Procedure CDECFO  $(\beta; S)$  executes the conversion of the data for an element of a finite field into a sequence of octets.
- k) Procedure CDOECF (S:  $\beta$ ) executes the conversion of the data for a sequence of octets into an element of a finite field.

### 4. Conclusions

For the elliptic curve public keys cryptographic algorithms the cryptographic importance consist in the difficulty to determine discrete logarithms over finite fields. Another most important aspect consists in the forms for the private keys and the public ones. The private keys are ordinary integers and the public ones are points on an elliptic curve. Elliptic curve systems are very useful for applications with smart cards and in distributed systems, where computational power and integrated circuit space are limited.

Acknowledgements. I wish to thank Professor Dr. Eng. Valeriu Munteanuat the "Gh. Asachi" Technical University of Jassy, Faculty of Electronics and Telecommunications, for their careful reading of the manuscript, helpful comments and for valuable discussions on this work.

Received, November 25, 1998

"Ovidius" University, Constantza

#### REFERENCES

- Diffie W., Hellman M., New Directions in Cryptography. IEEE Trans. on Information Theory, 22, 644-654 (1976).
- Diffie W., Hellman M., Privacy and Authentication: an Introduction to Cryptography. IEEE Trans. on Information Theory, 67, 397-427 (1979).
- Diffie W., The First Ten Years of Public Key Cryptography, Contemporary Cryptology. The Science of Information Integrity, IEEE Press, New York, 1991, 135-175.
- 4. Chabaud F., Lercier R., ZEN A New Toolbox for Computing in Finite Extensions Over Finite Rings. INRIA-ftp, July, 1996.
- 5. Koeller J., Menezes A., Qu M., Vanstone A., Standard for RSA, Diffie-Hellman and Related Public-key Cryptography. Certicom Corp., Ontario, Canada, 1996.
- Merkle R., A Certified Digital Signature. Advances in Cryptology, CRYPTO '89, Lecture Notes in Computer Sciences, 1990, 435, Springer-Verlag, New York, 218-238.
- 7. Miller V., Uses of Elliptic Curves in Cryptography. Advances in Cryptology, CRYPTO'85, Lecture Notes in Computer Sciences, 1986, 218, Springer-Verlag, New York, 417-426.
- 8. . \* . National Institute of Standards and Technology, Secure Hash Standard, Computer Security. 1995, FIPS PUB 180-1.
- 9. Mullin R., Onyszchuk I., Vanstone S., Wilson R., Optimal Normal Bases in  $GF(p^n)$ . Discrete Appl. Mathem., 22, 149-161 (1988/1989).
- Petac E., Public Key Encryption Schemes Using Elliptic Curves. Proc. of the Eighth Congress
  of the Internat. Maritime Assoc. of Mediterranean, Istanbul Technical University, 1997,
  16.2 9-16.2 13.
- 11. Petac E., About a Method of Distribution Keys of a Computer Network Using Elliptic Curves. IEEE Internat. Symp. on Consumer Electronics, ISCE'97, Singapore, December 2-4, 1997, 309-312.
- Silverman J., Tate J., Rational Points on Elliptic Curves. Springer-Verlag. New York, 1992.
- 13. Shoup V., On Fast and Provably Secure Message Authentication Based on Universal Hashing. Advances in Cryptology, Crypto'96, Lecture Notes in Computer Science, 1996, 1109, Springer-Verlag, New York, 313-328.

#### C'OMUNICAȚIE SIGURĂ BAZATĂ PE CRIPTOSISTEME CU C'HEI PUBLICE CONSTRUITE PE CURBE ELIPTICE (II)

#### (Rezumat)

Importanța criptografică a criptosistemelor cu chei publice construite pe curbe eliptice constă în evitarea dificultății de a calcula logaritmi discreți peste extensii ale unor campuri finite [6]. Această operație este mult mai complexă decat factorizarea unor numere intregi sau calculul logaritmilor discreți in  $\mathcal{F}_q$ . Un alt avantaj rezultă din structura cheilor, publică și privată: cheia privată este un simplu număr intreg în timp ce cheia publică este un punct al unei curbe eliptice. Sistemele criptografice construite pe curbe eliptice sunt indicate pentru aplicații care folosesc cartele inteligente și in sistemele distribuite, unde sunt limitate puterea de calcul și spațiul fizic necesar implementării.

n sistemele distribuite, unde sunt limitate puterea de calcul și spațiul fizic necesar implementării.

Se prezintă un sistem de criptare și autentificare construit pe curbe eliptice, care folosește o funcție de dispersie universală (UHF). Această funcție poate prelua la intrare un șir de octeți de lungime arbitrară, care corespunde mesajului M. Rezultatul aplicării acestei funcții este un șir de

octeți cu o lungime fixată la 64 de biți.

Pentru calculul in extensii finite, construite peste inele finite, s-a folosit toolbox-ul ZEN [4]: acesta prezintă rutine de calcul care implementează grupul finit de tip law pentru o curbă eliptică.